

ATTORNEY DOCKET NUMBER  
069547.0171

PATENT APPLICATION  
10/694,872

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Nigel J. Renton, et al.  
Serial No.: 10/694,872  
Filing Date: October 28, 2003  
Group Art Unit: 3624  
Examiner: Unknown  
Title: SYSTEM AND METHOD FOR MANAGING THE EXECUTION  
OF TRADES BETWEEN MARKET MAKERS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on the date shown below.

*Sandra Muller*  
Sandra Muller

1/10/05  
Date of Signature

Dear Sir:

**REQUEST FOR STATUS**

Please advise of the status of the above-identified patent application. Applicants received the Filing Receipt, dated January 28, 2004. The last item received by Applicants was a Notice of Recordation of Assignment Document, dated May 22, 2004. Applicants are currently awaiting a first Office Action.

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REMARKS

Under 37 C.F.R. § 1.702(a)(1), it has been over fourteen months since the filing date of this Application and neither a Notification under 35 U.S.C. § 132 nor a Notice of Allowance under 35 U.S.C. § 151 have been received. Thus, a period of adjustment of patent term due to examination delay is accruing in accordance with 37 C.F.R. § 1.703(a)(1).

If there are any matters that can be resolved through a telephone conference, please contact the undersigned attorney for Applicants at the telephone number listed below.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants



Samir A. Bhavsar  
Registration No. 41,617  
(214) 953-6581

Date: January 10, 2005

Correspondence Address:

at Customer No. **05073**